

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

**In re** : **Chapter 11 Case No.**  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
Debtors. : **(Jointly Administered)**  
-----x **Ref. Docket No. 20935**

## **AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
  2. On October 21, 2011, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
  3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
25<sup>st</sup> day of September, 2011  
/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE OF DEFECTIVE TRANSFER**

Transferor: DCI LONG-SHORT CREDIT FUND  
ATTN: KATHRYN WHEADON  
C/O DIVERSIFIED CREDIT INVESTMENTS, LLC  
201 SPEAR STREET  
SUITE 250  
SAN FRANCISCO CA 94105

Additional: DCI LONG-SHORT CREDIT FUND  
SIDLEY AUSTIN LLP  
ATTN: MICHAEL G. BURKE, ESQ.  
787 SEVENTH AVENUE  
NEW YORK NY 10019

Transferee: MAXIMILIAN PARTNERS, L.L.C.  
C/O ROPES & GRAY LLP  
ATTN: PHILIP WELLS  
1211 AVENUE OF THE AMERICAS  
10036-8704

Your transfer of claim # 67595 is defective for the reason(s) checked below:

Other

TRANSFEROR NAME DOES NOT MATCH.

Docket Number 20935

Date 10/18/11

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 21, 2011.

**EXHIBIT B**

TIME: 12:57:53  
DATE: 10/21/11

PAGE: 1  
LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

Name	Address
DCI LONG-SHORT CREDIT FUND DCI LONG-SHORT CREDIT FUND MAXIMILIAN PARTNERS, L.L.C.	ATTN: KATHRYN WHEADON C/O DIVERSIFIED CREDIT INVESTMENTS, LLC 201 SPEAR STREET SUITE 250 SAN FRANCISCO CA 94105 SIDLEY AUSTIN LLP ATTN: MICHAEL G. BURKE, ESQ. 787 SEVENTH AVENUE NEW YORK NY 10019 C/O ROPES & GRAY LLP ATTN: PHILLIP WELLIS 1211 AVENUE OF THE AMERICAS 10036-8704

Total Number of Records Printed

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